

Background Check Information

IU Health - Educational Affiliation

To fully understand the circumstances that can disqualify a student from participating at IU Health, please be aware of how we define the following terms and use them in this document:

- **Conviction:** Any violation of a law or ordinance for which a prospective student was found guilty by a judge and/or jury, or to which the prospective student pleaded guilty or no contest.
- **Falsification:** Providing or omitting information contrary to that obtained in a background investigation (unless the background investigation is proven to be inaccurate) and/or providing false, incomplete or misleading information.

The following convictions will disqualify a student from participating in an IU Health educational rotation:

1. A sex crime
2. Exploitation of an endangered adult
3. Failure to report battery, neglect, or exploitation of an endangered adult
4. Theft*, if the prospective student's conviction of theft occurred less than five (5) years before the prospective student's application**
5. Murder
6. Voluntary manslaughter
7. Involuntary manslaughter within the previous five (5) years**
8. Battery within the past five (5) years**
9. A felony offense relating to controlled substances within the last five (5) years**
10. Abuse or neglect of a minor, child or dependent
11. Failure to report the abuse of a minor, child or dependent

12. Any act that, if it occurred at the organization, could compromise the safety or well-being of patients, employees, visitors, or volunteers of the organization

13. Who has abused, neglected, or mistreated a patient or misappropriated a patient's property, as reflected in the state nurse aide registry

14. Whose name appears in a Sex Offender Registry

** Theft includes but is not limited to criminal conversion, receiving stolen property, shoplifting and identity theft. **Time frames are measured from the date of disposition (conviction).*

Federal Exclusions Lists:

Background checks must include the checking of the Federal Exclusions Lists. The exclusions lists include:

- **Office of Inspector General (OIG) U.S. Department of Health and Human Services:** -The OIG, under a Congressional mandate, established a program to exclude individuals and entities affected by various legal authorities, contained in sections of the Social Security Act, and maintains a list of all currently excluded parties called the "List of Excluded Individuals/Entities"

- **The System for Award Management (SAM) – General Services Administration:**
 - The System for Award Management (SAM) is combining federal procurement systems and the Catalog of Federal Domestic Assistance into one new system which contains:

- **Central Contractor Registry (CCR) - The Central Contractor Registration (CCR.GOV)** is the primary vendor database for the U.S. Federal Government. The CCR collects, validates, stores and disseminates data in support of agency acquisition missions.

- **Federal Agency Registration (FedReg) - FedReg** collects standard data on federal agency buyers and sellers who perform intragovernmental transactions. FedReg sends data on buyers and sellers to the Intragovernmental Transaction Exchange and Intragovernmental Transaction System to assist in tracking all intragovernmental transactions. FedReg also serves as a sort of government "Yellow Pages," providing information on federal sellers of goods and services. All federal entities engaged in intragovernmental buying or selling must be registered.

- **Online Representations and Certifications Application (ORCA) –ORCA** is a Federal Acquisition Regulations (FAR) mandated web-based system that streamlines the solicitation and award process for both vendor and Government by collecting vendor representations and certifications of business information that is required by law for contract award.

- Excluded Parties List System (EPLS) U.S. General Services Administration - EPLS is an electronic, web based system that identifies those parties excluded from receiving federal contracts, uncertain subcontractors, and certain types of federal financial and non-financial assistance and benefits.

Specially Designated Nationals List (SDN) U.S. Department of Treasury:

- List of individuals and companies owned or controlled by, or acting for or on behalf of, targeted countries. The SDN also lists individuals, groups, and entities such as terrorists and narcotics traffickers designated under programs that are not country-specific.

(Please note that these are the same exclusions lists that IU Health will check on each student on a regular basis in order to be in compliance with the federal requirements from the Office of the Inspector General.)



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